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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/050,231	01/16/2002	1645	553	6680.036	3	31	5

CONFIRMATION NO. 8620

FILING RECEIPT



OC00000007585469

Attention: Kathryn L. Hester, Ph.D.
Dunlap, Codding & Rogers, P.C.
9400 Northb Broadway, Suite 420
Oklahoma City, OK 73114

Date Mailed: 03/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

--Edmond, OK--
William H. Hildebrand, [Residence Not Provided]

--Heather D. Wickman, Oklahoma City, OK

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 10/022,066 12/18/2001
WHICH CLAIMS BENEFIT OF 60/261,978 01/16/2001

Foreign Applications

[JAPAN P. HEI. 9-274673 10/07/1997] Delete

If Required, Foreign Filing License Granted 02/19/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Soluble MHC artificial antigen presenting cells

Preliminary Class

DUNLAP, CODDING
& ROGERS, P.C.

MAR 09 2002

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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- The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



#4

Express Mail: EV106028115US
Date Deposited: 05/21/2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William H. Hildebrand, et al.) Group Art Unit: 1645
Serial No.: 10/050,231)
Filed: January 16, 2002) Examiner: Unknown
For: SOLUBLE MHC ARTIFICIAL ANTIGEN PRESENTING)
CELLS) Atty. Docket No.: 6680.036

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Commissioner for Patents
Washington, D. C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

1. Attached is a copy of the Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:



incorrectly entered

and/or



omitted.

f



Error in

- a. ☒ Applicant's name
- b. ☐ Applicant's address
- c. ☐ Title
- d. ☐ Filing Date
- e. ☐ Serial Number
- f. ☐ Foreign/PCT Application Re:
- g. ☐ Other:

Correct Data

- a. William H. Hildebrand, Edmond, OK
Heather D. Hickman, Oklahoma City, OK
- b.
- c.
- d.
- e.
- f. Delete : JAPAN P. HEI. 9-274673 10/07/1997
- g.

3.

- A. ☒ The correction(s) is/are not due to any error by Applicant and no fee is due.
- B. ☐ At least one of the above corrections is due to Applicant's error and the fee therefor, under 37 C.F.R. 1.19(h), of \$25.00 is paid as follows:
- enclosed is a check for _____
- ☐ charge Deposit Account No. 04-1700.

Respectfully submitted,
DUNLAP, CODDING & ROGERS, P.C., Cust.No. 30589

By:

Kathryn L. Hester, Ph.D.

Reg. No.: 46,768
DUNLAP, CODDING & ROGERS, P.C.
P. O. Box 16370
Oklahoma City, OK 73113
(405) 478-5344 - telephone
(405) 478-5349 - telefax

Agent for Applicant



Express Mail No. EV106028115US
Date Mailed: 05/21/2002

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known

Application Number	10/050,231
Filing Date	01/16/2002
First Named Inventor	William H. Hildebrand, et al.
Examiner Name	Unknown
Group Art Unit	1645
Attorney Docket No.	6680.036

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METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 04-1700
Deposit Account Name: DUNLAP, CODDING & ROGERS, P.C.
Cust. Number 30589

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 70	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	** =	X	= 0
Multi-Dependent	=	X	= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type)	Kathryn L. Hester, Ph.D.	Registration No. (Attorney/Agent)	46,768	Telephone	(405) 478-5344
Signature		Date	5-21-02		

COMMISSIONER OF PATENTS
OFFICE OF INITIAL EXAMINATION
CUSTOMER SERVICE CENTER
WASHINGTON, D.C. 20231

ATTENTION: Due to the indefinite suspension of U.S. Post Office Express Mail services, and pursuant to U.S. Patent and Trademark Office ("USPTO") instructions on 11/19/2001. This Request For Corrected Filing Receipt is being deposited on 05/21/2002 by U.S. Express Mail No. EV 106028115 US addressed to: COMMISSIONER OF PATENTS, 2900 CRYSTAL DRIVE, ARLINGTON, VA 22202-3513. IT IS FURTHER OUR UNDERSTANDING THAT THE USPTO WILL BE RESPONSIBLE FOR FORWARDING ALL OF THE ENCLOSED DOCUMENTS TO THE USPTO OFFICE LOCATED IN WASHINGTON D.C.



Express Mail No. EV106028115US

Deposited on: 05/21/2002

FILE COPY

Receipt

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/050,231
	Filing Date	01/16/2002
	First Named Inventor	William H. Hildebrand
	Group Art Unit	1645
	Examiner Name	Unknown
	Total Number of Pages in This Submission	Attorney Docket Number

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	See Remarks Below:
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	1. Transmittal Form PTO/SB/21 (1 page); 2. Fee Transmittal Form PTO/SB/17 (1 page); 3. Request for Corrected Filing Receipt (2 pages); 4. Copy of the Official Filing Receipt received from the PTO with requested corrections indicated in redline (2 Pages); 5. Post Card.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	DUNLAP, CODDING & ROGERS, P.C., Customer Number 30589 P. O. Box 16370, Oklahoma City, Oklahoma 73113
Signature	
Date	5-21-02

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. EV106028115US in an envelope addressed to the address below on this date: 05/21/2002	
Typed or printed name	Kathryn L. Hester, Ph.D. Registration No. 46,768
Signature	Date 5-21-02

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